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**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

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| Title of<br>Invention  | SAMPLE DEPOSITION METHOD AND SYSTEM |            |            |          |      |       |          |      |          |            |      |          |      |       |          |  |   |         |            |      |  |     |    |               |      |  |  |
|--|-------------------------------------|------------|------------|----------|------|-------|----------|------|----------|------------|------|----------|------|-------|----------|--|---|---------|------------|------|--|-----|----|---------------|------|--|--|
| <p>Application Number: 09/765207 *09/765207*</p> <p>Confirmation Number: 5731</p> <p>First Named Applicant: Ansgar BROCK</p> <p>Attorney Docket Number: P0021US00</p> <p>Art Unit: 2856</p> <p>Examiner: Mr. Thomas NOLAND</p> <p>Search string: ( 3941312 ).pn.</p> <p><b>Certification:</b> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:</p> <p>That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.</p> <p><b>US Patent Documents</b></p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>Init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>3941312</td><td>1976-03-02</td><td>Ohno</td><td></td><td>239</td><td>15</td></tr></tbody></table> <p><b>Signature</b></p> <table border="1"><thead><tr><th>Examiner Name</th><th>Date</th></tr></thead><tbody><tr><td></td><td></td></tr></tbody></table> |                                     |            |            |          |      |       |          | Init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |  | 1 | 3941312 | 1976-03-02 | Ohno |  | 239 | 15 | Examiner Name | Date |  |  |
| Init   | Cite.No.                            | Patent No. | Date       | Patentee | Kind | Class | Subclass |      |          |            |      |          |      |       |          |  |   |         |            |      |  |     |    |               |      |  |  |
|  | 1                                   | 3941312    | 1976-03-02 | Ohno     |      | 239   | 15       |      |          |            |      |          |      |       |          |  |   |         |            |      |  |     |    |               |      |  |  |
| Examiner Name  | Date                                |            |            |          |      |       |          |      |          |            |      |          |      |       |          |  |   |         |            |      |  |     |    |               |      |  |  |
|  |                                     |            |            |          |      |       |          |      |          |            |      |          |      |       |          |  |   |         |            |      |  |     |    |               |      |  |  |

PTO/SB/17 (10-04v2)

Approved for use through 07/31/2008. OMB 0851-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2005**

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 790

## Complete If Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 09/785,207       |
| Filing Date          | January 17, 2001 |
| First Named Inventor | BROCK, Ansgar    |
| Examiner Name        | NOLAND, Thomas   |
| Art Unit             | 2856             |
| Attorney Docket No.  | P0021US00        |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
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Number

501885

Deposit  
Account  
NameGenomics Institute of the Novartis Research  
Foundation

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to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 790      | 2001         | 395      | Utility filing fee     |          |
| 1002         | 350      | 2002         | 175      | Design filing fee      |          |
| 1003         | 550      | 2003         | 275      | Plant filing fee       |          |
| 1004         | 790      | 2004         | 395      | Reissue filing fee     |          |
| 1005         | 180      | 2005         | 80       | Provisional filing fee |          |

SUBTOTAL (1)

(\$ ) 0

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims |        | Extra Claims |   | Fee from below |     | Fee Paid |
|--------------|--------|--------------|---|----------------|-----|----------|
|              |        |              |   |                |     |          |
| 18           | -20 ** | = 0          | X |                | = 0 |          |
| 3            | -3 **  | = 0          | X |                | = 0 |          |
|              |        |              | X |                | = 0 |          |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |
| 1201         | 88       | 2201         | 44       | Independent claims in excess of 3                          |
| 1203         | 300      | 2203         | 150      | Multiple dependent claim, if not paid                      |
| 1204         | 88       | 2204         | 44       | ** Reissue independent claims over original patent         |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$ ) 0

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1061         | 130      | 2061         | 65       | Surcharge - late filing fee or oath  |          |
| 1062         | 50       | 2062         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1063         | 130      | 1063         | 130      | Non-English specification  |          |
| 1812         | 2,620    | 1812         | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252         | 430      | 2252         | 215      | Extension for reply within second month                                    |          |
| 1253         | 980      | 2253         | 490      | Extension for reply within third month                                     |          |
| 1254         | 1,530    | 2254         | 765      | Extension for reply within fourth month                                    |          |
| 1255         | 2,080    | 2255         | 1,040    | Extension for reply within fifth month                                     |          |
| 1401         | 340      | 2401         | 170      | Notice of Appeal   |          |
| 1402         | 340      | 2402         | 170      | Filing a brief in support of an appeal                                     |          |
| 1403         | 300      | 2403         | 150      | Request for oral hearing   |          |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453         | 1,370    | 2453         | 685      | Petition to revive - unintentional   |          |
| 1501         | 1,370    | 2501         | 685      | Utility issue fee (or reissue)   |          |
| 1502         | 490      | 2502         | 245      | Design issue fee   |          |
| 1503         | 660      | 2503         | 330      | Plant issue fee  |          |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17 (q)                                       |          |
| 1808         | 180      | 1808         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809         | 790      | 2809         | 395      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810         | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801         | 790      | 2801         | 395      | Request for Continued Examination (RCE)                                    | 790      |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |

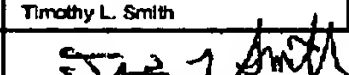
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 790

## SUBMITTED BY

## Complete (if applicable)

|                   |   |                                   |                   |           |              |
|-------------------|---|-----------------------------------|-------------------|-----------|--------------|
| Name (Print/Type) | Timothy L. Smith  | Registration No. (Attorney/Agent) | 35,367            | Telephone | 858-812-1547 |
| Signature         |  | Date                              | December 16, 2004 |           |              |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| First Named Inventor | BROCK, Ansgar    |
| Examiner Name        | NOLAND, Thomas   |
| Art Unit             | 2858             |
| Attorney Docket No.  | P0021US00        |

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Account  
Number

501885

Deposit  
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NameGenomics Institute of the Novartis Research  
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| SUBTOTAL (1) |          |              |          |                        | (\$) 0   |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

|                    |    |        |   |   |   |                |   |   |          |
|--------------------|----|--------|---|---|---|----------------|---|---|----------|
| Total Claims       | 19 | -20 ** | = | 0 | X | Fee from below | = | 0 | Fee Paid |
| Independent Claims | 3  | -3 **  | = | 0 | X |                | = | 0 |          |
| Multiple Dependent |    |        |   |   | X |                | = | 0 |          |

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
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\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
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| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 790**SUBMITTED BY**

Name (Print/Type)

Timothy L. Smith

Registration No. (Attorney/Agent)

35,387

Telephone

858-812-1547

Signature

Date

December 16, 2004

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